

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT  
Attorney Docket No. 023070-077630US

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

on July 28, 2000

TOWNSEND and TOWNSEND and CREW LLP

By Joy M. Marshall  
Joy M. Marshall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Harada, et al.

Application No.: 09/516,052

Filed: March 1, 2000

For: LEAFY COTYLEDON1 GENES AND  
THEIR USES

Examiner: Not Assigned

Art Unit: 1806

TRANSMITTAL LETTER -  
RESPONSE TO NOTICE OF MISSING  
PARTS OF NON-PROVISIONAL  
APPLICATION

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Non-Provisional Application dated May 4, 2000, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration (in five counterparts)
- 2) Copy of Notice of Missing Parts
- 3) Copy of Verified Statement Claiming Small Entity Status

Please charge Deposit Account No. 20-1430 for the following fees:

(c) Missing Parts Surcharge \$65.00

**TOTAL FEES TO BE CHARGED \$65.00**

Harada, et al.

Application No.: 09/516,052

Page 2

The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in triplicate.

Respectfully submitted,



Kevin L. Bastian

Reg No. 34,774

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor

San Francisco, California 94111-3834

(415) 576-0200

Fax (415) 576-0300

rev. 4/00

SF 150886 v1

SF 1119287 v1



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Refund Section, Assistant Commissioner for Patents,  
Washington, D.C. 20231

PATENT

Attorney Docket No.: 023070-077630US

on July 31, 2000  
By: [Signature]

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Harada, et al.

Application No.: 09/516,052

Filed: March 1, 2000

For: LEAFY COTYLEDON1 GENES AND  
THEIR USES

Examiner: Not Assigned

Art Unit: 1638

REQUEST FOR REIMBURSEMENT  
UNDER 37 CFR §1.28

Refund Section  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits a Verified Statement Claiming Small Entity Status to be made of record in the above-identified case. Applicant requests reimbursement, pursuant to 37 CFR §1.28, of \$1137.00, representing the overpayment of fees as a large entity at the time of filing of the U.S. Patent Application referenced above..

Please credit this overpayment to deposit account 20-1430. This Request is submitted in triplicate.

Respectfully submitted,

[Signature]  
Kevin L. Bastian  
Reg. No. 34,774

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200 Fax: (415) 576-0300  
KLB:jmm  
SF 1119290 v1

TRANSMITTAL

**TOWNSEND and TOWNSEND and CREW LLP**

Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

In re application of: John Harada, Tamar Lotan, Masa-aki Ohto,  
Robert B. Goldberg, Robert L. Fischer, Anthony Bui, Raymond  
Kwong

Application No.: 09/516,052

Filed: March 1, 2000

Group Art Unit: 1638

For: LEAFY COTYLEDON1 GENES AND THEIR USES

**THE ASSISTANT COMMISSIONER FOR PATENTS**  
Washington, D.C. 20231

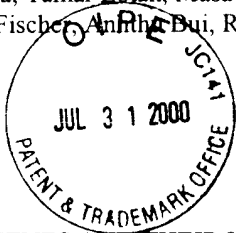
Attorney Docket No. 2307O-077630US  
Client Ref No. 97-177-5

Date: July 28, 2000

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Signed: *Jay M. MacCall*



Sir:

Transmitted herewith in the above-identified application.

- ☒ Enclosed is a petition to extend time for one month with fee authorization.
- ☒ Response to Notice of Missing parts of Non-Provisional Application
- ☒ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☒ Request for Reimbursement Under 37 CFR §1.28
- ☒ Original Declaration in five counterparts
- ☒ Copy of Notice to File Missing Parts of Non-Provisional Application.

The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA
TOTAL	* 69	MINUS	** 69	=	0
INDEP.	* 12	MINUS	*** 12	=	0
[ ] FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE		RATE	ADDIT. FEE
x \$9.00 =			x \$18.00 =	\$0.00
x \$39.00 =			x \$78.00 =	\$0.00
+ \$130.00 =			+ \$260.00 =	
TOTAL ADDIT. FEE		OR	TOTAL	\$0.00

☒ No fee is due.

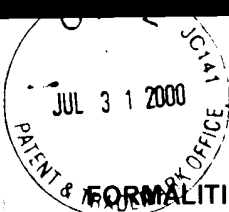
Please charge Deposit Account No. 20-1430 as follows:

[ ] Claims fee \$ \_\_\_\_\_  
[ X ] Any additional fees associated with this paper or during the pendency of this application.

NO extra copies of this sheet are enclosed.

TOWNSEND and TOWNSEND and CREW LLP

*Kevin L. Bastian*  
Kevin L. Bastian, Reg. No.: 34,774  
Attorneys for Applicant



**FORMALITIES LETTER**



\*OC000000005094420\*



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 516.052	03/01/2000	John Harada	023070-077630US

Townsend and Townsend and Crew  
Two Embarcadero Center 8th Floor  
San Francisco, CA 94111-3834

Date Mailed: 05/04/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

08/02/2000 EHANNOND 00000060 201430 09516052  
01 FC:205 65.00 CH